

Sheet 1 of 2

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
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Date Submitted to PTO:

ATTY DOCKET NO. 1345.101.US

SERIAL NO. 10/664,940

APPLICANT Stephen M. Dubovsky

FILING DATE 09/22/2003

GROUP 2838

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AB		3,419,779	08/09/1965	Zehner			
		3,600,599	08/17/1971	Wright et al			
		5,222,117	06/22/1993	Sakakihara			
		5,493,155	02/20/1996	Okamoto et al			
		5,522,944	06/04/1996	Elazari			
		5,592,074	01/07/1997	Takehara			
		5,716,442	02/10/1998	Fertig			
		5,929,538	07/27/1999	O'Sullivan et al			
		6,344,985	02/05/2002	Akerson			
		6,353,304	03/05/2002	Atcity et al			
		6,370,050	04/09/2002	Peng et al			
		6,448,489	09/10/2002	Kimura et al			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AB			Advanced Energy, Inc. publication "Grid-Connected MultiMode Power Conversion System", 2-pages.
AB			Advanced Energy, Inc. publication "GC-1000 1kW Grid-Connected Photovoltaic Inverter", and "AM-100 Inverter Monitor", 2 pages

EXAMINER

A. Berhane

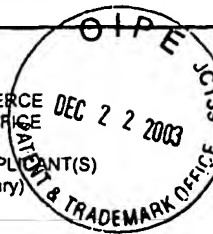
DATE CONSIDERED

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

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AB		6,506,622	01/14/2003	Shiozaki			
AB		2003/0038713 A1	02/27/2003	Plude et al			
AB		2003/0072977 A1	04/17/2003	Speranza et al			

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EXAMINER

A. Bahane

DATE CONSIDERED

10/04

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